

white: implementation by 11/28/2016  
yellow: implementation by 11/28/2017  
green: implementation by 11/28/2019

## Reform of Requirements for Long-Term Care Facilities ~ 483.80 Infection Control

### Infection Prevention and Control Program:

A system for preventing, identifying, reporting, investigating, and controlling infection and communicable diseases for all residents, staff volunteers, visitors, and other individuals providing services under a contractual arrangements based upon the facility assessment and following accepted national standards. Written standards, policies, and procedures for the program, must include, but are not limited to:

| Component   | Yes | No | Resources   |
|---|-----|----|---|
| A system of surveillance designed to identify possible communicable diseases or infections before they can spread to others in the facility   |     |    | <ul style="list-style-type: none"> <li>• <b>Great Plains Quality Innovation Network (GPQIN) Antibiotic Stewardship Resources</b><br/><a href="http://greatplainsqin.org/initiatives/antibiotic-stewardship/">http://greatplainsqin.org/initiatives/antibiotic-stewardship/</a></li> <li>• <b>Infection Prevention and Control Assessment Tool for Long-term Care Facilities</b><br/><a href="https://www.cdc.gov/infectioncontrol/pdf/icar/lctcf.pdf">https://www.cdc.gov/infectioncontrol/pdf/icar/lctcf.pdf</a></li> </ul>  |
| When and to whom possible incidents of communicable disease or infections should be reported  |     |    | <ul style="list-style-type: none"> <li>• <b>CDC Prevention Toolkit</b><br/><a href="https://www.cdc.gov/hai/prevent/prevention_tools.html">https://www.cdc.gov/hai/prevent/prevention_tools.html</a></li> <li>• <b>Tips for Applying CDC's Infection Surveillance Guidance in Long-term Care Facilities (LTCF)</b><br/><a href="http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/apxj.pdf">http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/apxj.pdf</a></li> </ul>   |
| Standard and transmission-based precautions to be followed to prevent spread of infections  |     |    | <ul style="list-style-type: none"> <li>• <b>Tracking Infections in Long-term Care Facilities</b><br/><a href="https://www.cdc.gov/nhsn/LTC/index.html">https://www.cdc.gov/nhsn/LTC/index.html</a></li> <li>• <b>Infection Surveillance Definition Worksheet</b><br/><a href="http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/apxk.pdf">http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/apxk.pdf</a></li> <li>• <b>Infection Surveillance Line-List Template:</b><br/><a href="http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/apxl.pdf">http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/apxl.pdf</a></li> <li>• <b>Assessment of Current CDI Prevention Activities Appropriate Cleaning/Disinfection of Equipment and the Environment</b><br/><a href="https://www.nhqualitycampaign.org/files/EnvironmentalCleaning_Assessment.pdf">https://www.nhqualitycampaign.org/files/EnvironmentalCleaning_Assessment.pdf</a></li> <li>• <b>Guideline for Disinfection and Sterilization in Healthcare Facilities, 2008 (CDC)</b><br/><a href="https://www.cdc.gov/hicpac/pdf/guidelines/Disinfection_Nov_2008.pdf">https://www.cdc.gov/hicpac/pdf/guidelines/Disinfection_Nov_2008.pdf</a></li> <li>• <b>2007 Guideline for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings</b><br/><a href="https://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf">https://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf</a></li> <li>• <b>Guidelines for Environmental Infection Control in Health-Care Facilities</b><br/><a href="https://www.cdc.gov/hicpac/pdf/guidelines/eic_in_HCF_03.pdf">https://www.cdc.gov/hicpac/pdf/guidelines/eic_in_HCF_03.pdf</a></li> <li>• <b>Cleaning/Disinfection Cleaning Checklist</b><br/><a href="https://www.nhqualitycampaign.org/files/EnvironmentalCleaning_Assessment.pdf">https://www.nhqualitycampaign.org/files/EnvironmentalCleaning_Assessment.pdf</a></li> </ul> |
| <p>When and how isolation should be used for a resident, including but not limited to:</p> <ul style="list-style-type: none"> <li>• The type and duration of the isolation, depending upon the infectious agent or organism involved.</li> <li>• A requirement that the isolation should be the least restrictive possible for the resident.</li> <li>• The circumstances under which the facility must prohibit employees with a communicable disease or infected skin lesions from direct contact with resident or their food.</li> </ul> |     |    |   |

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| <ul style="list-style-type: none"> <li>The hand hygiene procedures to be followed by staff involved in direct resident contact.</li> </ul>                             |  |  | <ul style="list-style-type: none"> <li><b>Management of Multidrug-Resistant Organisms In Healthcare Settings, 2006</b><br/><a href="https://www.cdc.gov/hicpac/pdf/guidelines/MDROGuideline2006.pdf">https://www.cdc.gov/hicpac/pdf/guidelines/MDROGuideline2006.pdf</a></li> <li><b>Guideline for infection control in health care personnel, 1998</b><br/><a href="https://www.cdc.gov/hicpac/pdf/InfectControl98.pdf">https://www.cdc.gov/hicpac/pdf/InfectControl98.pdf</a></li> <li><b>Assessment of Current CDI Prevention Activities Hand Hygiene</b><br/><a href="https://www.nhqualitycampaign.org/files/HandHygiene_Assessment.pdf">https://www.nhqualitycampaign.org/files/HandHygiene_Assessment.pdf</a></li> <li><b>Guideline for Hand Hygiene in Health-Care Settings (CDC)</b><br/><a href="https://www.cdc.gov/mmwr/PDF/rr/rr5116.pdf">https://www.cdc.gov/mmwr/PDF/rr/rr5116.pdf</a></li> <li><b>Hand Hygiene for Health Professionals (CDC)</b><br/><a href="https://www.cdc.gov/handhygiene/providers/index.html">https://www.cdc.gov/handhygiene/providers/index.html</a></li> <li><b>The Core Elements for Antibiotic Stewardship in Nursing Homes</b><br/><a href="https://www.cdc.gov/longtermcare/prevention/antibiotic-stewardship.html">https://www.cdc.gov/longtermcare/prevention/antibiotic-stewardship.html</a></li> <li><b>CDC Checklist of Core Elements of Antibiotic Stewardship in Nursing Homes</b><br/><a href="https://www.cdc.gov/longtermcare/pdfs/core-elements-antibiotic-stewardship-checklist.pdf">https://www.cdc.gov/longtermcare/pdfs/core-elements-antibiotic-stewardship-checklist.pdf</a></li> <li><b>CDC Creating a Culture to Improve Antibiotic Stewardship in Nursing Homes</b><br/><a href="https://www.cdc.gov/longtermcare/pdfs/factsheet-core-elements-creating-culture-improve-use.pdf">https://www.cdc.gov/longtermcare/pdfs/factsheet-core-elements-creating-culture-improve-use.pdf</a></li> <li><b>Assessment of Current CDI Prevention Activities Antibiotic Stewardship Checklist (CDC)</b><br/><a href="https://www.nhqualitycampaign.org/files/AntibioticStewardship_Assessment.pdf">https://www.nhqualitycampaign.org/files/AntibioticStewardship_Assessment.pdf</a></li> <li><b>AHRQ Antimicrobial Guide</b><br/><a href="https://www.ahrq.gov/nhguide/index.html">https://www.ahrq.gov/nhguide/index.html</a></li> <li><b>Minnesota Antimicrobial Stewardship Program Toolkit for Long-term Care Facilities</b><br/><a href="http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/index.html">http://www.health.state.mn.us/divs/idepc/dtopics/antibioticresistance/asp/ltc/index.html</a></li> </ul> |
| <p>An antibiotic stewardship program that includes antibiotic use protocols and a system to monitor antibiotic use.</p>  |  |  |  |
| <p>A system for recording incidents identified under the facility's Infection Prevention and Control Plan (IPCP) and the corrective actions taken by the facility.</p> |  |  | <p><b><u>KANSAS</u></b></p> <ul style="list-style-type: none"> <li><b>Reportable Diseases in Kansas</b><br/><a href="http://www.kdheks.gov/epi/disease_reporting.html">http://www.kdheks.gov/epi/disease_reporting.html</a></li> <li><b>Electronic Disease Reporting (KS)</b><br/><a href="http://www.kdheks.gov/epi/download/KANSAS_NOTIFIABLE_DISEASE_FORM.pdf">http://www.kdheks.gov/epi/download/KANSAS_NOTIFIABLE_DISEASE_FORM.pdf</a></li> </ul> <p><b><u>NEBRASKA</u></b></p> <ul style="list-style-type: none"> <li><b>Reportable Diseases in Nebraska</b><br/><a href="http://www.sos.ne.gov/rules-and-regs/regsearch/Rules/Health_and_Human_Services_System/Title-173/Chapter-01.pdf">http://www.sos.ne.gov/rules-and-regs/regsearch/Rules/Health_and_Human_Services_System/Title-173/Chapter-01.pdf</a></li> <li><b>Electronic Disease Reporting (NE)</b><br/><a href="http://dhhs.ne.gov/publichealth/EPI/Documents/ReportableDiseasesHealthcare.pdf">http://dhhs.ne.gov/publichealth/EPI/Documents/ReportableDiseasesHealthcare.pdf</a></li> </ul>  |

**NORTH DAKOTA**

- **Reportable Diseases in North Dakota**  
<http://www.ndhealth.gov/Disease/Documents/ReportableConditions.pdf>
- **Electronic Disease Reporting (ND)**  
<https://www.ndhealth.gov/disease/reportcard/>

**SOUTH DAKOTA**

- **Reportable Diseases in South Dakota**  
<https://doh.sd.gov/diseases/infectious/reporting.aspx>
- **Electronic Disease Reporting (SD)**  
<https://apps.sd.gov/PH93Morbidity/secure/index.aspx>
- **Great Plains Quality Innovation Network *C. Difficile* Reporting and Reduction Initiative**  
<http://greatplainsqin.org/initiatives/hac-nh/cdi/>

**Infection Preventionist**

The facility must designate one or more individual(s) as the infection preventionist(s) (IPs) who are responsible for the facility's IPCP. The IP must:

| Component  | Yes | No | Resources   |
|--|-----|----|---|
| Have primary professional training in nursing, medical technology, microbiology, epidemiology, or other related field. |     |    | <ul style="list-style-type: none"> <li><b>Exploring Antibiotics and their Role in Fighting Bacterial Infections- Nursing Home Training Sessions</b><br/> <a href="http://qioprogram.org/exploring-antibiotics-and-their-role-fighting-bacterial-infections">http://qioprogram.org/exploring-antibiotics-and-their-role-fighting-bacterial-infections</a></li> </ul> |
| Be qualified by education, training, experience or certification.  |     |    |   |
| Work at least part-time at the facility.   |     |    |   |
| Have completed specialized training in infection prevention and control.   |     |    |   |

**IP Participation on quality assessment and assurance committee**

| Component  | Yes | No | Resources |
|--|-----|----|-----------|
| The individual designated as the IP, must be a member of the facility's quality assessment and assurance committee and report to the committee on the IPCP on a regular basis. |     |    |           |

## Influenza Immunizations

The facility must develop policies and procedures to ensure that:

| Component   | Yes | No | Resources  |
|---|-----|----|--|
| <p>Before offering the influenza immunization, each resident or the resident representative receives education regarding the benefits and potential side effects of the immunization</p>  |     |    | <ul style="list-style-type: none"> <li>• <b>CDC Influenza Toolkit for Long-Term Care</b><br/><a href="https://www.cdc.gov/flu/toolkit/long-term-care/">https://www.cdc.gov/flu/toolkit/long-term-care/</a></li> <li>• <b>CDC Standards for Adult Immunization Practice</b><br/><a href="https://www.cdc.gov/vaccines/hcp/adults/for-practice/standards/index.html">https://www.cdc.gov/vaccines/hcp/adults/for-practice/standards/index.html</a></li> <li>• <b>CDC Vaccine Information Statements</b><br/><a href="https://www.cdc.gov/vaccines/hcp/vis/index.html">https://www.cdc.gov/vaccines/hcp/vis/index.html</a></li> </ul> |
| <p>Each resident is offered an influenza immunization October 1 through March 31 annually, unless the immunization is medically contraindicated or the resident has already been immunized during this time period</p>  |     |    | <p><b><u>KANSAS</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="https://kanphix.kdhe.state.ks.us/webiznet_ks/Login.aspx">https://kanphix.kdhe.state.ks.us/webiznet_ks/Login.aspx</a></li> </ul> <p><b><u>NEBRASKA</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="https://nesiis-dhhs-prod.ne.gov/prd_ir/public/clientSearch.do?language=en">https://nesiis-dhhs-prod.ne.gov/prd_ir/public/clientSearch.do?language=en</a></li> </ul>  |
| <p>The resident or the resident's representative has the opportunity to refuse immunization</p>   |     |    | <p><b><u>NORTH DAKOTA</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="http://www.ndhealth.gov/Immunize/NDIIS/">http://www.ndhealth.gov/Immunize/NDIIS/</a></li> </ul> <p><b><u>SOUTH DAKOTA</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="https://doh.sd.gov/family/childhood/immunization/">https://doh.sd.gov/family/childhood/immunization/</a></li> </ul>  |
| <p>The resident's medical record includes documentation that indicates, at a minimum:</p> <ul style="list-style-type: none"> <li>• That the resident or resident's representative was provided education regarding the benefits and potential side effect of influenza immunization.</li> <li>• That the resident either</li> </ul> |     |    |  |

|  |            |           |   |
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| received the influenza immunization or did not receive the immunization due to medical contraindications or refusal.   |            |           |   |
| <b>Pneumococcal disease</b>  |            |           |   |
| The facility must develop policies and procedures to ensure that:  |            |           |   |
| <b>Component</b>   | <b>Yes</b> | <b>No</b> | <b>Resources</b>  |
| Before offering the pneumococcal immunization, each resident or the resident representative receives education regarding the benefits and potential side effects of the immunization |            |           | <ul style="list-style-type: none"> <li>• <b>CDC Standards for Adult Immunization Practice</b><br/><a href="https://www.cdc.gov/vaccines/hcp/adults/for-practice/standards/index.html">https://www.cdc.gov/vaccines/hcp/adults/for-practice/standards/index.html</a></li> <li>• <b>CDC Vaccine Information Statements</b><br/><a href="https://www.cdc.gov/vaccines/hcp/vis/index.html">https://www.cdc.gov/vaccines/hcp/vis/index.html</a></li> </ul>   |
| Each resident is offered a pneumococcal immunization unless the immunization is medically contraindicated or the resident has already been immunized                                 |            |           | <p><b><u>KANSAS</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="https://kanphix.kdhe.state.ks.us/webiznet_ks/Login.aspx">https://kanphix.kdhe.state.ks.us/webiznet_ks/Login.aspx</a></li> </ul> <p><b><u>NEBRASKA</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="https://nesiis-dhhs-prod.ne.gov/prd_ir/public/clientSearch.do?language=en">https://nesiis-dhhs-prod.ne.gov/prd_ir/public/clientSearch.do?language=en</a></li> </ul> |
| The resident or the resident's representative has the opportunity to refuse immunization   |            |           | <p><b><u>NORTH DAKOTA</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="http://www.ndhealth.gov/Immunize/NDIIS/">http://www.ndhealth.gov/Immunize/NDIIS/</a></li> </ul> <p><b><u>SOUTH DAKOTA</u></b></p> <ul style="list-style-type: none"> <li>• <b>Immunization Information System</b><br/><a href="https://doh.sd.gov/family/childhood/immunization/">https://doh.sd.gov/family/childhood/immunization/</a></li> </ul>   |

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| <p>The resident's medical record includes documentation that indicates, at a minimum:</p> <ul style="list-style-type: none"> <li>• That the resident or resident's representative was provided education regarding the benefits and potential side effect of pneumococcal immunization.</li> <li>• That the resident either received the pneumococcal immunization or did not receive the immunization due to medical contraindications or refusal.</li> </ul> |  |  |  |
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**Linens**

| <b>Component</b>   | <b>Yes</b> | <b>No</b> | <b>Resources</b> |
|--|------------|-----------|------------------|
| Personnel must handle, store, process, and transport linens so as to prevent the spread of infection |            |           |                  |

**Annual Review**

| <b>Component</b>   | <b>Yes</b> | <b>No</b> | <b>Resources</b> |
|--|------------|-----------|------------------|
| The facility will conduct an annual review of its IPCP program and update their program as necessary |            |           |                  |